

Pre-bid queries for the Request For Proposal (RFP) For Selection Of Consulting Firm For Providing Experts For Program Unit (PMU), Bid reference No.: URC/2142/24/2022-23 Date: 07/02/2023

REQUEST FOR CLARIFICATION

S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
1. PricewaterhouseCoopers Private Limited				
1.	Pg No. 11, Background Section 1.1.1	To provide focused support for the implementation of its major schemes, works and ongoing programmes / projects / missions / works of central or State Government such as AMRUT, Swachh Bharat Mission-Urban, Smart City Mission, PMAY, NULM, etc.	<p>Please provide name of all departments which are going to be part of this PMU. As per our understanding about the Department of Urban Development (DoUD), it has several other flagship schemes such as PM Svanidhi, NAMAMI GANGE, One City One Operator, Urban Transport, and have other important departments such as SUDA, Jal Nigam, Nagar Nigam, DULB, 750+ Other ULBs</p> <p>Apart from implementing Central Sponsored schemes/programs, State i.e. DoUD-GoUP also runs various State sponsored schemes/programs to fulfill the overall objective of the State.</p> <p>Need further clarification for better understanding of project and hence managing future requirements in a timely manner. This information will also</p>	All the schemes pertaining to urban Development Dept. GoUP including all the GOI and state sponsored schemes.

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			helps us in the preparation of A&M presentation and IEC Planning	
2.	Pg No. 11, Background Section 1.1.1	The Department of Urban Development intends to set up a Programme Management Unit (PMU) to provide focused support for the implementation of its major schemes, works and ongoing programmes / projects / missions / works of central or State Government such as AMRUT, Swachh Bharat Mission-Urban, Smart City Mission, PMAY, NULM, etc.	DoUD has various flagship schemes under different departments. However, we need to have a clarity on term (etc.). Please name the department, so that we have more clarity on "nature of work"	Replied in Sl. No.1.1
3.	Page No. 12 Section 1.2.2	A Bidder shall be selected based on the 'Quality cum Cost based Selection (QCBS 70 Tech:30 Fin)' process as set out in this document.	Considering the specialised skill sets requirements involved in the assignment, we request to kindly provide more weightage to the technical score. Thus, we request you to kindly revise the 70:30 QCBS to 80:20.	As per RFP
4.	Page No. 16 Section 2.3.1 Position 1 - Team Lead cum Liaison officer	Minimum 5 years of experience of working with donor /development agencies like World Bank, ADB, UN, USAID, BMGF etc.	This clause of minimum 5 years' experience only with donor/development agencies limits the possibilities for experts who are having experience as per project requirements with state / central governments but somehow did not work for the donor/development agencies. You are	May be considered with the following modification: - Minimum 02 years of experience of working with donor/development agencies like World

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			requested to kindly remove this condition or modify it with inclusion of experience in state/central government.	Bank, ADB, UN, USAID, BMGF etc.
5.	Page No. 29 Section 2.3.1 Position 11 Legal Policy and Planning Expert	<ul style="list-style-type: none"> • Postgraduate/Graduate Degree in Law (LLB/LLM) and minimum 05 years of experience in the legal field with at least 03 years of experience in civil law/ environmental law/commercial law work. • Support in preparing the legal aspects of various policy notes and papers related to project work and mission as required in DoUD from time to time. Advice on the applications, Counter Affidavits and Appeals and Writs in mater related to various courts and tribunals. 	As a consulting organization, we don't provide legal services or opinions. Since the mentioned scope does not require legal opinion to be given by the consultant, request you to please rename the position to "Contractual Consultant".	As per RFP
6.	Page No. 30 Section 2.3.1 Position 12 Urban Finance and Taxation Expert	Must have handled at least one Municipal Bond Issuance in the last five years, either as a Merchant Banker or as a Consultant /Advisor / post issue compliance advisor, in their previous / current assignments	Putting this requirement shall make it very hard to identify suitable options for the required role as there have been very limited number of Municipal Bond issuance in the last five years and the experts who have worked there may or may not be available for this project. You are requested to kindly remove this	May be considered with the following modification: - Must have handled at least one Municipal/State/Gol/any Government Infrastructure Bond

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			requirement or you may mention this as "preferred"one	Issuance in the last five years, either as a Merchant Banker or as a Consultant /Advisor / post issue compliance advisor, in their previous / current assignments
7.	Page No. 28 Section 2.3.1 Position 13 Assistant Urban Finance and Taxation Expert	Must have handled at least one Municipal Bond Issuance in the last five years, either as a Merchant Banker or as a Consultant /Advisor / post issue compliance advisor, in their previous / current assignments.	Putting this requirement shall make it very hard to identify suitable options for the required role as there have been very limited number of Municipal Bond issuance in the last five years and the experts who have worked there may or may not be available for this project. You are requested to kindly remove this requirement or you may mention this as "preferred"one	Replied in above Sl. No.1.6
8.	Page No. 48 Section 5.1.2 Eligibility criteria	Request you to please increase the minimum turnover criteria to min 200 Crore. The one who has highest turnover amongst all should be given additional 5 Marks >= 1.5 Cr. to <=25 Cr. - 08 marks >25 Cr. to <=50 Cr. - 10 marks	Request you to increase the minimum average turnover to minimum 200 Crores, so that only competent players will participate. Also, modify the marking as per below mentioned; >= 200 Cr. to 500 Cr. - 08 marks >500 Cr. to 1000	As per RFP

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		>50 Cr. - 15 Marks	Cr. - 10 marks >1000 Cr - 15 Marks	
9.	Page No. 85 Section 9.2 Key Performance Indicators (KPI)	<p>Remaining 20% against the KPIs will be paid to the Firm. The reimbursement of amount quoting on man-month basis will be paid to the Firm as follows:</p> <ul style="list-style-type: none"> ➤ 80% of man-months quoted rates on monthly basis as per the contract according to the agreed work and ➤ Remaining 20% on achievement of KPI with respect to each individual as finalised by both the parties within the specified period. 	<p>You are requested to kindly provide the list of KPIs.</p> <p>In such assignments, the subjectivity of KPIs limits actual monitoring of them and hence keeping 20% amount linked with KPIs shall impact the project finances. Thus, it is requested to kindly eliminate the linking of 20% of fee with KPIs.</p>	As per RFP. Already roles and responsibilities of each expert are defined in RFP.
10.	Page No. 44 Section 3.5.1 Bid security	The Bidder is required to deposit, along with its bid, a bid security of INR Rs. 10,00,000/- (Rupees Ten Lakh only) in the form of a bank guarantee as specified in Appendix I Form 4, from any nationalized bank or as mentioned in the Data Sheet with a validity of 180 (one hundred and eighty) days from the Bid due-date.	We request the client to allow the submission of Bank Guarantee from a Scheduled Commercial Bank as well	Accepted
11.		The PMU is constituted with an		As per RFP. Already

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
	Page No. 62, Section 9.1, 2 SCHEDULE - Terms of Reference - Works and Objective of the PMU at DoUD	objective to give assistance and providing programmatic support and performing, coordination, monitoring for all ongoing central or state supported government schemes / programmes / project / missions / works of the department such as AMRUT, Swachh Bharat Mission-Urban, Smart City Mission, PMAY, NULM, etc. The PMU shall also support the department in project structuring, project planning and study, financial viability and project management.	From the Terms of reference, it is envisaged that the role of the consultant would largely involve providing program management support for smooth role out of DoUD's various flagship schemes and mission and does not include preparation of the Technical studies, DPRs, Project Costing, Techno-Commercial studies etc Request you to kindly confirm. We understand that the scope of PMU will not involve the legal vetting or preparation of the concession/legal agreements. Kindly Confirm	roles and responsibilities of each expert are defined in RFP.
12.	Pg No. 9, Bid Data Sheet, Point No. 5	NA	Need minimum 10 days Extension in bid submission last date from the date of issuance of corrigendum	Bid Due date and time- 11-03-2023, 17:00 Date and time of opening of Technical Bid - 13-03-2023, 16:00
2. Inductus Limited				
1.	Evaluation Criteria Page no.- 48	Past Experience B Experience in providing consulting / project implementation units / Third Party Assessment / PMC of Thematic Sectoral projects of Urban Development / Amrut/ Smart City/ SBM /	We want clarification on this criterion that if PMC of Thematic Sectoral projects of other sectors will be also considered of state government or Doners or funding agencies?	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		SWM/ Central/ State Government Departments / Doners or funding agencies / PSUs / Autonomous bodies/ Public Sector entity /Urban Development Departments/ Local Governments/ Development Authorities. Each assignment should have a minimum client billing of Rs 01 Cr/per assignment in last five financial years		
3. Mazi Management Partners LLP				
1.		BID Data Sheet- Bid due date and time - 28/02/2023 15:00 Hrs, Online submission through WWW.EPROCURE.GOV.IN	We would like to request you to give an extension of 1 week from the due date	Bid Due date and time-- 11-03-2023, 17:00 Date and time of opening of Technical Bid – 13-03-2023, 16:00
4. Darashaw & Company Private Limited				
1.	Page 11	Clause 1.1.2 The PMU is, proposed to be set up for 01 year which may be extended upto 2 years on same terms and conditions, based on performance of the Firm/ agency in respect of manpower provided and after the approval of competent authority.	Considering the effect of inflation and also the need for retaining the resources and also to factor in salary increments that would have to be provided to employees deputed in PMU , kindly request to consider an annual escalation of 10% in manmonth rates quoted in case of extension	As per RFP
2.	Page 16	Clause 2.3.1 Team Lead cum Liaison officer	Kindly request to relax the criteria of Minimum 5 years of experience of working	Already replied above Sl. No. 1.4

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		<p>Essential Qualification and Experience:</p> <ul style="list-style-type: none"> • Masters in any one of the following disciplines: -Economics, Engineering, Business / Urban Management, Finance, Public / General Administration and/or other related fields. • Minimum 8 years of industry experience as team leader, policy expert or related field including 5 years of experience of working with donor/development agencies like World Bank, ADB, UN, USAID, BMGF etc. 	<p>with donor/development agencies like World Bank,ADB, UN, USAID, BMGF etc.</p> <p>To experience of working in programmes like World Bank, ADB, UN,USAID, BMGF, AMRUT, Swachh Bharat Mission-Urban, Smart City Mission, PMAY, NULM, etc.</p> <p>As it is almost impossible for a 8 years experienced person to have 5 years of experience with donor/development agencies</p>	
3.	Page 18	<p>Procurement Expert (RFP Design/Bid Process Management)</p> <p>Graduate degree in Engineering and Master’s/MBA/PGDM in management subjects of masters in planning/engineering at least with 05 years’ experience in Procurement Civil work / Equipment / Services, World bank documents, Public Procurement in social sector (Public Health, Water, Sanitation, Urban, Rural, Health, Education etc.) under Central or State funded project and any Procurement Certification course from</p>	<p>Kindly request to remove the criteria of “any Procurement Certification course from recognized institute. PMP certification shall be given preference.</p> <p>As procurement specific certifications from recognized institutes are not common and a person having experience in procurement would automatically possess the skill sets required for procurement.</p> <p>Also PMP certification is not directly related to procurement and it is basically project management certification, hence request to</p>	As per RFP

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		recognized institute. PMP certification shall be given preference.	remove this criteria.	
4.	Page 21	PPP specialist Desirable Experience: • PMP certification shall be given preference.	As PMP certification is not directly related to PPP and it is basically project management certification, hence request to remove this criterion.	As per RFP
5.	Page 27	Legal Policy and Planning expert	Since the work of a legal expert will need to back stopped by support resources also especially by a proper legal firm , kindly request to allow for outsourcing/subletting this position from a legal firm	As per RFP
6.	Page 32	Clause 2.4.1 3 Average annual turnover for the last 3 Financial Years (i.e. 2019-20, 2020-21 and 2021-22) should be minimum 30% of the Project Cost.	We understand that annual turnover should be the firm turn over , kindly request to clarify on the same	Read as annual turnover of company/firm
7.	Page 32	Clause 2.4.1 5 The bidder should have executed (completed/ongoing) at least 1 number	We kindly request to clarify the meaning of contract value not less than 80%/50% /40% of the Project Cost for each contract	May be considered for last 5 financial years

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		<p>of project with contract value not less than INR 80% of the Project Cost for each contract of providing manpower services/ Advisory/ Consulting services to central / State Government Departments / PSUs / Autonomous bodies/ Public Sector entity in the last 3 Financial Years.</p> <p>Or</p> <p>The bidder should have executed (completed/ongoing) at least 2 numbers of project each with contract value not less than INR 50% of the Project Cost for each contract of providing manpower services/ Advisory/ Consulting services to central / State Government Departments / PSUs / Autonomous bodies/ Public Sector entity in the last 3Financial Years.</p> <p>Or</p> <p>The bidder should have executed (completed/ongoing) at least 3 numbers of project each with contract value not less than 40% of the Project Cost for each contract of providing manpower services/ Advisory/ Consulting services to</p>	<p>We understand 80%/50% /40% of the Project Cost are the total contract value of the entire assignment awarded to the firm for providing manpower services/ Advisory/ Consulting services to central / State Government Departments / PSUs / Autonomous bodies/ Public Sector entity.</p> <p>Also we like to bring to your attention that there was a significant impact of covid in financial ears 2019-20 and 2020-21 and 2021-22 , hence kindly request to consider projects in last 5 financial years</p>	

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply								
		central / State Government Departments / PSUs / Autonomous bodies/ Public Sector entity in the last 3 Financial Years										
8.	Page 36	3. Form2A, 2B & 2C: Break up of Man-month rate for each resource & Out of Pocket Expenses	Kindly provide form 2B and 2C as it is missing from the RFP document	Form 2A & 2B are already there in RFP. There is no Form 2C in the RFP								
9.	Page 48	<table border="1"> <thead> <tr> <th>S. No</th> <th>Parameter Name</th> <th>Particulars</th> <th>Criteria</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>Turnover</td> <td>Minimum Average turnover of 30% of the Project Cost in last 3 financial years 2019-20,2020-21 and 2021-22</td> <td> >= 1.5 Cr. to <=25 Cr. - 08 marks >25 Cr. to <=50 Cr. - 10 marks >50 Cr. - 15 marks </td> </tr> </tbody> </table>	S. No	Parameter Name	Particulars	Criteria	1	Turnover	Minimum Average turnover of 30% of the Project Cost in last 3 financial years 2019-20,2020-21 and 2021-22	>= 1.5 Cr. to <=25 Cr. - 08 marks >25 Cr. to <=50 Cr. - 10 marks >50 Cr. - 15 marks	We understand turn over specified is the firm turn over, kindly clarify on the same .	Read as annual turnover of company/firm
S. No	Parameter Name	Particulars	Criteria									
1	Turnover	Minimum Average turnover of 30% of the Project Cost in last 3 financial years 2019-20,2020-21 and 2021-22	>= 1.5 Cr. to <=25 Cr. - 08 marks >25 Cr. to <=50 Cr. - 10 marks >50 Cr. - 15 marks									
10.	Page 54	<p>Clause 6.8</p> <p>a) If the Consultant fails to deploy the requisite staff as defined in with in thirty (30) days from the effective date of the contract, the Consultant shall be liable to pay an amount equivalent to 0.1% of the contract value per day. If delay in deployment of requisite staff is more than 30 days, then this agreement with the Consultant shall be terminated and performance guarantee deposited by the</p>	<p>Since there are 15 personnel to be deployed, kindly request to relax this criteria to deployment of requisite staff within 60 days from effective date of contract.</p> <p>Also kindly request to relax penalty to 0.1% of proportionate contract value of non-deployed resource only and not on the full contract value</p>	As per RFP								

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		consultant shall be forfeited.		
11.	Page 55	<p>Clause 6.8</p> <p>c) Replacement of more than 4 resource persons in a year would attract a penalty. A one-time penalty of 2% of the monthly billing amount will be levied for each replacement beyond 4 resources in one year.</p>	<p>As 15 member team is to be deployed and based on opportunities available in market , retaining experts is a challenge , hence Kindly request to relax this clause as follows</p> <p>c) Replacement of more than 8 resource persons in a year would attract a penalty. A one-time penalty of 1% of the monthly billing amount will be levied for each replacement beyond 8 resources in one year.</p>	As per RFP
12.	Page 85	<p>Clause 9.3</p> <p>The payment for the manpower provided by the Firm shall be reimbursed latest by every 15th day of the succeeding month. Payments shall be subject to deductions of any amount for which the Firm is liable under the agreement against this contract.</p> <p>The Firm should ensure that the monthly payment to hired manpower/consultants will be paid latest by 7th of every month (subject to successful submission of invoice) as per existing labour law of Government of Uttar Pradesh/State</p>	<p>Since payment to hired manpower has to be paid by 7th of every month , we request authority also to provide payments by 7th of every month , hence kindly request to modify the criteria as below:</p> <p>The payment for the manpower provided by the Firm shall be reimbursed latest by every 7th day of the succeeding month. Payments shall be subject to deductions of any amount for which the Firm is liable under the agreement against this contract.</p> <p>The Firm should ensure that the monthly payment to hired manpower/consultants will be paid latest within 7 days of receiving reimbursement every month (subject to</p>	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply																
		Government of Delhi. Necessary action will be taken as per existing rules and regulations otherwise.	successful submission of invoice) as per existing labour law of Government of Uttar Pradesh/State Government of Delhi. Necessary action will be taken as per existing rules and regulations otherwise.																	
13.	Page No. 9	JV/ Consortium Shall not be allowed	We request the Authority to Allow for Sub Consultant firm to bid for this project	As per RFP																
14.	Page No. 51	5.1.1 Combined and Final Evaluation The technical quality of the proposal will be given weight of 70%. The price bids of only those consultants, who qualify technically, will be opened. The proposal given financial score that are inversely proportionate to their prices. The financial proposal shall be allocated weight of 30%. For working out the combined score, the employer will use the following formula:	We request the Authority to consider as below 5.1.1 Combined and Final Evaluation The technical quality of the proposal will be given weight of 80%. The price bids of only those consultants, who qualify technically, will be opened. The proposal given financial score that are inversely proportionate to their prices. The financial proposal shall be allocated weight of 20%. For working out the combined score, the employer will use the following formula:	As per RFP																
15.	Page 101	Form 8 – Annual turnover of the bidder <table border="1" data-bbox="548 1114 1108 1324"> <thead> <tr> <th>S. No</th> <th>Financial Year</th> <th>Annual Turnover from Consulting Services</th> <th>Net worth</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td></td> <td></td> <td></td> </tr> <tr> <td>2.</td> <td></td> <td></td> <td></td> </tr> <tr> <td>3.</td> <td></td> <td></td> <td></td> </tr> </tbody> </table>	S. No	Financial Year	Annual Turnover from Consulting Services	Net worth	1.				2.				3.				Kindly request to consider Annual turnover of the firm in line with other clauses as mentioned in the RfP	Read as annual turnover of company/firm
S. No	Financial Year	Annual Turnover from Consulting Services	Net worth																	
1.																				
2.																				
3.																				
16.	Page 8	Bid due date & time	We request client to provide Minimum 21	Bid Due date and time–																

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			Days extension after reply to pre bid queries/Issuing Corrigendum/Addendum with changes in terms and conditions to the tender document.	11-03-2023, 17:00 Date and time of opening of Technical Bid – 13-03-2023, 16:00
5. Karaat Infra				
1.		We are requesting authority to kindly Extend the date for 10 to 15 days for the submission of a bid.		Bid Due date and time– 11-03-2023, 17:00 Date and time of opening of Technical Bid – 13-03-2023, 16:00
2.	Evaluation criteria Point 3	We are requesting authority to kindly reduce the amount 1 core to 30 lakh client billing per assignment.		Now read the amount as 40 lakhs in place of 1 crore.
6. Grant Thornton Bharat LLP				
1.	34 Eligibility Criteria 2.4.1	Point 3 : Average annual turnover for the last 3 Financial Years (i.e., 2019-20, 2020-21 and 2021-22) should be minimum 30% of the Project Cost. (In case audited statement of turnover not available for FY 2021-22, bidder may submit for FY 2018-2019).	Kindly consider the below said modification : Average annual turnover for the last 3 Financial Years (i.e., 2019-20, 2020-21 and 2021-22) should be minimum 100 Cr from Consulting. (In case audited statement of turnover not available for FY 2021-22, bidder may submit for FY 2018-2019). Request you to kindly consider changes to the marking system. Currently the value of Average annual turnover value is linked with Project cost i.e. 5 Cr. only. (30 %) The main criteria is to judge the financial capability of	As per RFP

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			firm to deliver the project Currently value is too small and this may attract less financial stable firms to participate.	
2.	48 Criteria for Evaluation 5.1 Evaluation of Technical Bid	Turnover : Minimum Average turnover of 30% of the Project Cost in last 3 financial years 2019-20,2020-21 and 2021-22 >= 1.5 Cr. to <=25 Cr. - 08 marks >25 Cr. to <=50 Cr. - 10 marks >50 Cr. - 15 marks	Kindly consider the below said modification : The bidder should have Annual Average Turnover for the last three (3) financial years (2020-2021, 2019-2020, 2018-2019) of: ➤ INR 100 crore - INR 200 crore: 5 Marks ➤ INR 201 crore -INR 300 crore: 10 Marks ➤ INR 301 crore & above: 15 Marks Request you to kindly consider changes to the marking system. Currently the value of Average annual turnover value is linked with Project cost i.e. 5 Cr. only. (30 %) The main criteria is to judge the financial capability of firm to deliver the project Currently value is too small and this may attract less financial stable firms to participate. This marking criteria allow professional experienced and financially stable firms to participate.	As per RFP
3.	84 & 85 Resources/team 9.2	DUTIES OF THE “AGENCY” : The selected Firm should ensure that the payment of quoted man-month rates/per months should be linked with the individual’s KPI	As 20% of the payment is linked with KPI's, request you to kindly share the draft KPI's for PMU team.	Already replied above in SI. No. 1.9

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		<p>in a transparent manner.</p> <p>Key Performance Indicators (KPI): Therefore, this Department will fix the Annual KPIs for PMU members in consultation with Head of the PMU, which will be strictly monitored on a monthly basis by Principal Secretary/ Special Secretary/Deputy Secretary, after signing of the contract. The performance against the KPIs of PMU members will be reviewed by Principal Secretary/ Secretary / Special Secretary on the last working day of the given month otherwise 100% of remaining 20% against the KPIs will be paid to the Firm.</p>		
4.	85 Resources/team 9.3 Schedule of Payments.	The Firm should ensure that the monthly payment to the hired experts will be paid latest by 7th of every month (subject to successful submission of invoice) as per existing labor law of Government of Uttar Pradesh/Central Govt. Necessary action will be taken as per existing rules and regulations otherwise. Experts on the payrolls of the firm would not require submitting the invoice to the firm.	<p>Kindly note that, salary disbursement in any firm is as per the company policy and varies with joining of resources (if contractual).</p> <p>No firm will commit the salary disbursement by 7th of every month of any contractual resources as this depends on individual KPI & KRA of resources.</p> <p>Hence request you to kindly delete this clause.</p>	As per RFP
5.	54	C. Replacement of more than 4 resource	Kindly consider the below said modification :	As per RFP

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	Penalty 6.8 Penalty Clauses	persons in a year would attract a penalty. A one-time penalty of 2% of the monthly billing amount will be levied for each replacement beyond 4 resources in one year.	Replacement of more than 6 resource persons in a year would attract a penalty. A one-time penalty of 1% of the monthly billing amount will be levied for each replacement beyond 6 resources in one year The team required is this project require multiple expertise. Therefore considering the same, we hereby requesting to consider the minimum replacement up to 6 resources in a year, however we ensure that there will be minimum resource replacement during project execution.	
6.	64 9.2 Resources/team Procurement Expert (RFP Design/Bid Process Management)	Desirable Experience: : PMP certification shall be given preference	Request you to kindly consider the changes as these are the relevant certification for said profile.	As per RFP
7.	68 2.3 Assignment team PPP Specialist	Desirable Experience: : PMP certification shall be given preference	Kindly consider the below said modification : Desirable Experience: : Prince 2/ PMP/ CPPP certification shall be given preference	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
			Request you to kindly consider the changes as these are the relevant certification for said profile.	
8.	General	Last Date for Submission is Feb 28th 2023.	Request You to kindly extend the bid submission date for at least 15 days from current submission date so that quality proposal along with all required documents can be submitted in response to this RFP	Bid Due date and time– 11-03-2023, 17:00 Date and time of opening of Technical Bid – 13-03-2023, 16:00
7.All India Institute of Local Self Government (AILSG)				
1.	2.4 Eligibility Criteria P.NO. 34	The Bidder shall be a private company, firm, LLP incorporated in India under the (Indian) Companies Act 1956 / 2013 or a company formed under any other law for the time being in force. The bidder should be registered for more than 5 years and have experience in similar projects of providing manpower for Advisory/ Consulting services to central / State Government Departments / PSUs / Autonomous bodies/ Public Sector entity. The Bidder shall be required to submit a true copy of its Incorporation Certificate.	We understand that the agencies registered under the Socieites Registration Act 1860 are also capable to undertake this assignment. We request you to kindly amend this clause and allow agencies registered under the Societies Registration Act 1860	As per RFP
2.	5.1 Evaluation	Experience in providing consulting	We request you to kindly consider	PMU Projects will also

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
	of Technical Bid P.No. 48	/ project implementation units / Third Party Assessment /PMC of Thematic Sectoral projects of Urban Development / Amrut/ Smart City/ SBM / SWM/ Central/ State Government Departments / Doners or funding agencies / PSUs / Autonomous bodies/ Public Sector entity /Urban Development Departments/ Local Governments / Development Authorities. Each assignment should have a minimum client billing of Rs 01 Cr/per assignment in last five financial years	the experience of PMU projects in the related sectors as the eligible assignments.	be considered
8.Ecorys India Private Limited				
1.	Data Sheet Page 09	Bid due date and time	Request to extend the date. Owing to the number of positions required in the RFP request to extend date by three weeks post release of pre-bid queries.	Bid Due date and time– 11-03-2023, 17:00 Date and time of opening of Technical Bid – 13-03-2023, 16:00
2.	E-Tender Requirement; Page 35	JV/Consortium shall not be allowed.	Request to allow JV/Consortium and evaluation based on Joint credentials	As per RFP
3.	Data Sheet Page 48	Single order with number of employees in supply of professionals for Advisory/ Consulting services to central / State	Request to Clarify	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		<p>Government Departments / PSUs / Autonomous bodies/ Public Sector entity during last three Financial Years 2019-20,2020-21 and 2021-22</p> <p>The amount of liquidated damages under this Contract shall not exceed 10% of the total value of the contract.</p> <p>b. The amount of liquidated damages under this Contract shall not exceed 10% of the total value of the contract.</p> <p>c. Replacement of more than 4 resource persons in a year would attract a penalty. A one-time penalty of 2% of the monthly billing amount will be levied for each replacement beyond 4 resources in one year</p>		
4.	Urban Finance and Taxation Expert; Page 77	Master’s degree in Engineering / Commerce/ Economics/Public Finance/ MBA Finance/Chartered Accountant from recognised university with minimum 08 years of experience in the Indian Debt Capital Markets (Bond Markets).	Request to update as “Master’s degree in Engineering / Commerce/ Economics/Public Finance/ MBA Finance/Chartered Accountant from recognised university with overall 8 years of experience with minimum 03 years of experience in the Indian Debt Capital Markets	The revised clause will be read as: - “Master’s degree in Engineering / Commerce/ Economics/Public Finance/ MBA Finance/Chartered Accountant from

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
			(Bond Markets).	recognised university with overall 8 years of experience in in Municipal Finance / Urban Finance with minimum 03 years of experience in the Indian Debt Capital Markets (Bond Markets).
5.	Schedule of Payments. Page-85	The Firm should ensure that the monthly payment to the hired experts will be paid latest by 7th of every month (subject to successful submission of invoice) as per existing labour law of Government of Uttar Pradesh/Central Govt. Necessary action will be taken as per existing rules and regulations otherwise. Experts on the payrolls of the firm would not require submitting the invoice to the firm	Request to have reimbursement of firm payment based on the bill latest by 7 th of every month.	As per RFP
6.		Schedule – 9 : Terms of Response	The current ToR have rendered a broad scope. However we request to have more specific roles of expert and wing.	As per RFP
9.CRISIL Market Intelligence & Analytics				

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
1.	Clause No. 1.3 Schedule of bidding process Table Row- 5 Bid due date and time	Bid due date and time 28/02/2023 15:00 Hrs Online submission through WWW.EPROCURE.GOV.IN	Considering the important nature of the project and the size of the team required to be placed on-site, we anticipate that the bid preparation will require significant efforts, and the current due date will not allow for the submission of a quality proposal. We respectfully request you to provide at least three weeks' time for submission of proposal after issuance of response to pre-bid queries.	Bid Due date and time– 11-03-2023, 17:00 Date and time of opening of Technical Bid – 13-03-2023, 16:00
2.	Clause no. 2.3 Assignment Team Page No. 16 - 17	Team Leader cum Liaison officer Essential Qualification and Experience: <ul style="list-style-type: none"> • Masters in any one of the following discipline's: -Economics/ Engineering/ Business / Urban Management/ Urban or City Planning/ Finance/ Public / General Administration and/or other related fields. • Minimum 08 years of industry experience as team leader, policy expert or related field including minimum 05 years of experience of working with donor/development agencies like World Bank, ADB, UN, USAID, BMGF etc. 	The qualifications and experience necessary for the team leader position are appropriate for the task at hand. However, our experience with missions such as AMRUT, SBM, and Smart Cities leads us to believe that a candidate with at least eight years of experience in the urban development domain, specifically within the context of Indian cities and ULBs would be more suitable than someone with only donor agency experience. We have observed that experience of working solely with donor agencies may not adequately address all the challenges associated with ULBs and the State.	Already replied above Sl. No. 1.4

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
			Therefore, we kindly request that the team leader's overall professional experience in the urban domain be given priority, regardless of their donor agency experience.	
3.	Clause no. 2.3 Assignment Team Page No. 30	<p>Urban Finance and Taxation Expert Essential Qualification and Experience:</p> <ul style="list-style-type: none"> • Master’s degree in Engineering / Commerce/ Economics/Public Finance/ MBA Finance/Chartered Accountant from recognised university with minimum 08 years of experience in the Indian Debt Capital Markets (Bond Markets). • Experience of working on Urban finance or Merchant Banker setup. • Must have handled at least one Municipal Bond Issuance in the last five years, either as a Merchant Banker or as a Consultant /Advisor / post issue compliance advisor, in their previous / current assignments. 	<p>Over the last 8 years, only a limited number of cities (around 10-12) have issued municipal bonds and Lucknow being one of them.</p> <p>Considering the limited availability of such resources, it would be challenging to find an expert with specific experience in the Indian Debt Capital Markets (Bond Markets).</p> <p>Hence, we request you to consider candidates with experience in Municipal Finance / Urban Finance with good knowledge of excel modelling as part of their overall desirable experience.</p>	Already replied above Sl. No. 1.6 & 8.4
4.	Clause no. 2.3 Assignment Team Page No. 29	<p>Legal Policy and Planning Expert:</p> <ul style="list-style-type: none"> • Postgraduate/Graduate Degree in Law (LLB/LLM) and minimum 05 years of experience in the legal field with at least 	We understand that the firms eligible to bid under this project would be from urban infrastructure and management consulting background, and do not possess any expertise with regards to	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		<p>03 years of experience in civil law/ environmental law/commercial law work.</p> <ul style="list-style-type: none"> Support in preparing the legal aspects of various policy notes and papers related to project work and mission as required in DoUD from time to time. Advice on the applications, Counter Affidavits and Appeals and Writs in mater related to various courts and tribunals. 	<p>providing legal services.</p> <p>Hence, we request that the requirement for a legal expert be removed from this project.</p> <p>The PMU team shall otherwise continue to support the legal team of UDD in preparing and collation of all the relevant information as required to address any court matter.</p>	
5.	<p>Clause 2.4 Eligibility Criteria Page No. 34</p>	<p>E-Tender Requirement The bidder should have executed (completed/ongoing) at least 1 number of project with contract value not less than 80% of the Project Cost for each contract of providing professionals for Advisory/ Consulting services to central / State Government Departments / PSUs / Autonomous bodies/ Public Sector entity in the last 3 Financial Years.</p>	<p>In clause 2.4 we understand that the definition of ‘autonomous bodies’ would also include urban local bodies (Municipal Corporations/ Councils) as they have been provided with fiscal and function autonomy under the Constitution.</p> <p>We request you to kindly confirm if our understanding is correct.</p> <p>In case the definition of ‘autonomous bodies’ doesn’t include ULBs, we request you to consider the experience at ULB level.</p>	<p>Accepted</p>
6.	<p>Clause 5.1 Evaluation of Technical Bid</p>	<p>Evaluation Criteria Past Experience A & B Document required</p>	<p>We kindly request that the contract documents be accepted as evidence, in addition to the work order copy and completion certificate.</p>	<p>As per RFP</p>

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
	Page No. 49	Order copy along with experience certificate. For ongoing projects, copy of work order(s)/ LoA may be considered as valid documents	Since, the contract documents contain detailed information on the arrangements between both the parties, it will provide a comprehensive understanding of the project and its team members.	
7.	Clause 6.9 Contract termination Page No. 55	<p>The UDD/Nominated Officer may terminate this Contract in case of the occurrence of any of the events specified in paragraphs (a) through (g) of this Clause. In such an occurrence the UDD/Nominated Officer shall give not less than thirty (30) days' written notice of termination to the Bidders, and sixty (60) days in case of the event referred to in (g).</p> <p>(g) If the UDD/Nominated Officer, in its sole discretion and for any reason whatsoever, decides to terminate this Contract.</p>	<p>With reference to clause 6.9 (l)(g), we request that this clause be excluded as sub clause (a) to (f) are very comprehensively drafted and covers all events of failure of performance.</p> <p>It would be prudent to allow the consultant an opportunity to remedy any shortcoming in performance, and not terminate the contract 'without any cause'.</p>	As per RFP
8.	SCHEDULE - Terms of Reference 9.1. Scope of Work: Page No. 62	The PMU is, proposed to be set up for 01 year which may be extended upto 2 years on same terms and conditions, based on performance of the Firm/ agency in respect of manpower provided and after the	We understand that the extension of PMU beyond the initial 1-year period would be on the basis of Firm/ agency performance. You would appreciate that good performance by the PMU team should be appropriately rewarded by means of	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		approval of competent authority.	<p>increased remuneration.</p> <p>In this reference, we would request that a provision be made for a 10% increase in the contract fee for the extended period. This will allow for the Firm/ agency to reward / revise the team members remuneration accordingly.</p>	
9.	<p>Clause- DUTIES OF THE “AGENCY” Page No. 84-85</p> <p>Key Performance Indicators (KPI):</p>	<ul style="list-style-type: none"> • Key Performance Indicators (KPIs) in project management consist of various specific measurement tools for indicating how well PMU members are achieving specific goals. Key performance indicators consist of the important performance goals across all aspects of team involvement in a project. The objective to set up a Programme Management Unit (PMU) is to provide focused support for the implementation of the schemes of department of Urban development in time bound manner. Hence, PMU has to efficiently and effectively manage, coordinate and support programmatically to the Department of Urban Development. 	<p>We appreciate the thought of having pre-defined KPIs for the PMU team as this will allow the team to be focussed and work towards achieving these targets.</p> <p>However, to ensure accountability we would request that the PMU Team Leader shall also be included in the discussion while finalising the monthly KPI targets. In addition, we request that the consultant be indemnified for failure to achieve any of the KPIs on account of factors outside the control of the consultant.</p>	<p>Already replied above in Sl. No. 1.9</p>

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		<ul style="list-style-type: none"> • Therefore, this Department will fix the Annual KPIs for PMU members in consultation with Head of the PMU, which will be strictly monitored on a monthly basis by Principal Secretary/ Special Secretary/Deputy Secretary, after signing of the contract. The performance against the KPIs of PMU members will be reviewed by Principal Secretary/ Secretary / Special Secretary on the last working day of the given month otherwise 100% of remaining 20% against the KPIs will be paid to the Firm. The reimbursement of amount quoting on man-month basis will be paid to the Firm as follows: • 80% of man-months quoted rates on monthly basis as per the contract according to the agreed work and <ul style="list-style-type: none"> • Remaining 20% on achievement of KPI with respect to each individual as finalised by both the parties within the specified period. 		
10.	General Clause		The assignment duration is one year with	Only Casual Leave will

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			<p>the possibility of a two-year extension based on the firm's performance. We would like to emphasize that it is customary to provide 24 days of leave in a year to each key personnel in addition to official holidays.</p> <p>We request that such provision be made available under the RFP for this assignment.</p>	be provided as per GoUP Leave rules.
10.APUIAML				
1.	EMD amount mentioned as 2 Lakhs in the big data sheet but clause 3.5 - you have mentioned 10 lakhs - Please clarify			Read EMD Amount as Rs. 10 lakhs
2.	Extension of time : APUIAML is requesting to extend bid submission date to further 15 days i.e 16.3.2023 .			Bid Due date and time– 11-03-2023, 17:00 Date and time of opening of Technical Bid – 13-03-2023, 16:00
11.Nangia & Co LLP				
1.	Evaluation Criteria Page no.45 Clause no. 4	Experience in providing consulting / project implementation units / Third Party Assessment / PMC of Thematic Sectoral projects of Urban Development / Amrut/ Smart City / SBM / SWM /central / State Government Departments / Doners or funding agencies / PSUs / Autonomous bodies/ Public Sector	<p>Each assignment should have a minimum client billing of Rs 40 Lakh/per assignment in last five financial years.</p> <p>We would like to request authority to kindly modify the above clause. The modification would allow larger participation for the RFP and would enable the Authorities to select an agency from a larger pool of competitive service</p>	Already replied above in Sl. No. 5.2

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		entity /Urban Development Departments/ Local Governments/ Development Authorities. Each assignment should have a minimum client billing of Rs 2 Cr/per assignment in last five financial years	providers. It is a humble request to kindly accept the above suggestions and modify the clause.	
12.KPMG Advisory Services Private Limited				
1.	Bid Data Sheet Page No. 9	Bid due date and time - 28/02/2023 15:00 Hrs	Considering the fact that the bid requires arrangement of CVs for large no. of resources, it is requested to the authority to kindly extend the bid submission date by 15 days and amend the clause as below- "Bid due date and time 15.03.2023 15:00 Hrs "	Bid Due date and time- 11-03-2023, 17:00 Date and time of opening of Technical Bid - 13-03-2023, 16:00
2.	Clause- 2.3 (Assignment Team), Sub-clause- 2.3.1.- Team Lead cum Liaison officer Page No. 16-18	Team Lead cum Liaison officer— Essential Qualification and Experience: <ul style="list-style-type: none"> Masters in any one of the following discipline's: -Economics/ Engineering/ Business / Urban Management/ Urban or City Planning/ Finance/ Public / General Administration and/or other related fields. Minimum 08 years of industry 	It is requested to the authorities to amend the clause as below: Team Lead cum Liaison officer— Essential Qualification and Experience: <ul style="list-style-type: none"> "Minimum 08 years of industry experience as team leader, policy expert or related field including minimum 02 years of experience of working with donor/development agencies like World Bank, ADB, UN, USAID, BMGF etc 	The revised clause will be read as: - Minimum 08 years of industry experience as team leader, policy expert or related field including minimum 02 years of experience of working with

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		<p>experience as team leader, policy expert or related field including minimum 05 years of experience of working with donor/development agencies like World Bank, ADB, UN, USAID, BMGF etc.</p> <p>Desirable Experience:</p> <ul style="list-style-type: none"> • Prior experience of handling large (10+ member) teams. • Proven experience of working with State central government department / ministries, PSUs, Parastatals and similar. • International/ Central Govt./ State Govt. experience is preferred. • Time keeping, result-orientation, self-discipline work style is a strong asset. • Strong communication, working with people competencies. • Excellent proposal writing / report writing skills • Perfect MS office skills. 	<p>Desirable Experience:</p> <ul style="list-style-type: none"> • Prior experience of handling large (5+ member) teams. • Proven experience of working with State central government department / ministries, PSUs, Parastatals and similar. • International/ Central Govt./ State Govt. experience is preferred. • Time keeping, result-orientation, self-discipline work style is a strong asset. • Strong communication, working with people competencies. • Excellent proposal writing / report writing skills • Perfect MS office skills. 	<p>donor/development agencies like World Bank, ADB, UN, USAID, BMGF etc.</p> <p>Rest of the terms & conditions will be as per RFP.</p>
3.	Clause- 2.3 (Assignment Team), Sub-clause-	<p>Desirable Experience:</p> <ul style="list-style-type: none"> • PMP certification shall be given preference. • Proficient with MS office and web 	<p>As PMP (Project management Professional) Certificate is related to the Project management skill and has no relation with the procurement.</p>	<p>As per RFP</p>

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
	2.3.1.- Procurement Expert Wing- Page No. 18 Procurement Expert (RFP Design/Bid Process Management)	<p>enabled IT services.</p> <ul style="list-style-type: none"> • Deep understanding of public procurement processes. • Experience of working in Urban sector with basic knowledge on sanitation, Solid Waste management etc. • Experience in leading a multidisciplinary team of professionals. • Strong leadership, administration, analytical, communications, interpersonal and project management skills is essential for this position. • Good understanding of GFR / U.P. State Procurement guidelines. • Good knowledge on procurement best practices including world bank and UN procurements. • Good understanding of government requirements and administrative functioning of the government. • Possess good and consistent academic records. • Experience of working with 	<p>Hence, it is requested to kindly remove requirement of PMP certification in the mentioned criteria and amend the clause as below:- “Desirable Experience:</p> <ul style="list-style-type: none"> • Proficient with MS office and web enabled IT services. • Deep understanding of public procurement processes. • Experience of working in Urban sector with basic knowledge on sanitation, Solid Waste management etc. • Experience in leading a multidisciplinary team of professionals. • Strong leadership, administration, analytical, communications, interpersonal and project management skills is essential for this position. • Good understanding of GFR / U.P. State Procurement guidelines. • Good knowledge on procurement best practices including world bank and UN procurements. • Good understanding of government requirements and administrative functioning of the government. 	

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		Government institutions especially in Urban Development Department will be given preference.	<ul style="list-style-type: none"> • Possess good and consistent academic records. • Experience of working with Government institutions especially in Urban Development Department will be given preference.” 	
4.	Clause- 2.3 (Assignment Team), Sub-clause- 2.3.1.- Subject Expert Wing- PPP Specialist Page No. 21	<p>Essential Qualification and Experience:</p> <ul style="list-style-type: none"> • Graduate degree in Engineering/Planning with Master’s/MBA/PGDM in management subjects or master’s in planning/Engineering or related fields. • At least 8 years of relevant professional experience in structured finance, including hands-on experience in originating, structuring, and executing loans and structured finance transactions for infrastructure projects and/or hands- on experience developing and financing PPPs, including structuring and delivering infrastructure projects with PPP components. <p>Desirable Experience:</p> <ul style="list-style-type: none"> • PMP certification shall be given preference. 	<p>It is requested to modify the clause as follows: Essential Qualification and Experience:</p> <p>It is requested to the authorities to amend the clause as below:</p> <p>-</p> <ul style="list-style-type: none"> • “Graduate degree in Engineering/Planning / Master’s/MBA/PGDM in management subjects or master’s in planning/Engineering or related fields. <p>At least 5 years of relevant professional experience in structured finance, including hands-on experience in originating, structuring, and executing loans and structured finance transactions for infrastructure projects and/or hands-on experience developing and financing PPPs, including structuring and delivering infrastructure projects with PPP components.”</p>	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		<ul style="list-style-type: none"> • Regularly shares knowledge on new international best practice trends in comparator situations • Proactively applies relevant international best practices to own work • Convinces others to adopt international best practice by explaining the situational relevance and benefits • Adapts own approach and style when interacting with clients, as opposed to requiring them to adapt • Demonstrated ability to negotiate with project sponsors, financing parties, institutional investors, and governments • Proven strong analytical capabilities, particularly in credit assessment and risk mitigation • Excellent oral and written communication skills in English. 	<p>As PMP (Project management Professional) Certificate is related to the Project management skill and has no relation with the procurement.</p> <p>Hence, it is requested to kindly remove requirement of PMP certification in the mentioned criteria and amend the clause as below: -</p> <p>“Desirable Experience:</p> <ul style="list-style-type: none"> • Regularly shares knowledge on new international best practice trends in comparator situations • Proactively applies relevant international best practices to own work • Convinces others to adopt international best practice by explaining the situational relevance and benefits • Adapts own approach and style when interacting with clients, as opposed to requiring them to adapt • Demonstrated ability to negotiate with project sponsors, financing parties, institutional investors, and governments • Proven strong analytical capabilities, 	

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
			<p>particularly in credit assessment and risk mitigation</p> <ul style="list-style-type: none"> • Excellent oral and written communication skills in English” 	
5.	<p>Clause- 2.3 (Assignment Team), Sub-clause- 2.3.1.- Subject Expert Wing- Training/ Capacity Building Expert Page No. 25</p>	<p>Essential Qualification and Experience:</p> <ul style="list-style-type: none"> • Graduate degree in Social Sciences/ Engineering/Planning with 07-years’ experience of conducting Capacity Building /Research Activity in social sector/ State Government/ Local Bodies/Resource Centres / NGOs / Communities Or Post Graduate degree in Social Sciences/Human Resource Management/Degree in Master Business Administration (MBA)/ Planning with 05 years’ experience of conducting Capacity Building /Research Activity in social sector/ State Government/ Local Bodies/Resource Centres / NGOs / Communities. <p>Desirable Experience:</p> <ul style="list-style-type: none"> • Experience of working in Social Sector Projects including SBM, Sanitation, Public Health, Sanitation. • Experience in conducting training / workshops as master trainer 	<p>It is requested to amend the clause as below: - “Essential Qualification and Experience:</p> <ul style="list-style-type: none"> • Graduate degree in Social Sciences/ Engineering/Planning with 05-years’ experience of conducting Capacity Building /Research Activity in social sector/ State Government/ Local Bodies/Resource Centres / NGOs / Communities Or Post Graduate degree in Social Sciences/Human Resource Management/Degree in Master Business Administration (MBA)/ Planning with 03 years’ experience of conducting Capacity Building /Research Activity in social sector/ State Government/ Local Bodies/Resource Centres / NGOs / Communities.” <p>It is requested to amend the clause as below: - “Desirable Experience:</p> <ul style="list-style-type: none"> • Experience of working in Social Sector Projects including 	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		<p>(trainer of trainers)</p> <ul style="list-style-type: none"> • Strong leadership, administration, analytical, communications, interpersonal and project management skills is essential for this position. • Good understanding of government requirements and administrative functioning of the government. • Possess good and consistent academic records. <ul style="list-style-type: none"> • Experience of working with Government institutions especially in Urban Development Department will be given preference. 	<p>SBM, Sanitation, Public Health, Sanitation etc.</p> <ul style="list-style-type: none"> • Experience in conducting training / workshops as master trainer (trainer of trainers) • Strong leadership, administration, analytical, communications, interpersonal and project management skills is essential for this position. • Good understanding of government requirements and administrative functioning of the government. • Possess good and consistent academic records. <ul style="list-style-type: none"> • Experience of working with Government institutions especially in Urban Development Department will be given preference.” 	
6.	Clause- 2.3 (Assignment Team), Sub-clause- 2.3.1.- ICT Expert Wing- GIS Expert Page	<ul style="list-style-type: none"> • Graduate degree in Engineering/Geography/Planning/Geoscience with Masters in Geosciences/Planning/GIS with 5 years’ experience in managing GIS projects • Design, develop, and implement systems and databases to access 	<p>It is requested to amend the clause as below: -</p> <ul style="list-style-type: none"> • “Graduate degree in Engineering/Geography/Planning/Geoscience/ Social Science with Masters in Geosciences/Planning/GIS with 5 years’ experience in managing GIS projects 	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
	No. 28	and store geospatial data <ul style="list-style-type: none"> • To analyze the data with mapping software (Arc GIS, Auto CAD, etc.) • Design digital maps using geospatial data and analyze spatial and non-spatial information. 	<ul style="list-style-type: none"> • Design, develop, and implement systems and databases to access and store geospatial data • To analyze the data with mapping software (Arc GIS, Auto CAD, etc.) • Design digital maps using geospatial data and analyze spatial and non-spatial information. 	
7.	Clause- 2.3 (Assignment Team), Sub-clause- 2.3.1.- Legal, Finance & Taxation Page No. 30 Wing- Urban Finance and Taxation Expert	Essential Qualification and Experience: <ul style="list-style-type: none"> • Master's degree in Engineering / Commerce/ Economics/Public Finance/ MBA Finance/Chartered Accountant from recognised university with minimum 08 years of experience in the Indian Debt Capital Markets (Bond Markets). • Experience of working on Urban finance or Merchant Banker setup. • Must have handled at least one Municipal Bond Issuance in the last five years, either as a Merchant Banker or as a Consultant /Advisor / post issue compliance advisor, in their previous / current assignments. 	Since there are few persons who have handled municipal bonds issuance; hence it is requested to modify the clause as follows as it is restrictive in nature : Essential Qualification and Experience: <ul style="list-style-type: none"> • Master's degree in Engineering / Commerce/ Economics/Public Finance/ MBA Finance/Chartered Accountant from recognised university with minimum 08 years of experience. • Experience of working on Urban finance or Merchant Banker setup. • Skills to evaluate urban infrastructure investments and helping ULBs to use a range of options for finance projects. • Experience to advise and train staff in municipal finance, project finance and 	Already replied above Sl. No. 1.6 & 8.4

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		<ul style="list-style-type: none"> • Skills to evaluate urban infrastructure investments and helping ULBs to use a range of options for finance projects. • Experience to advise and train staff in municipal finance, project finance and resource mobilization, compliances etc. • Excellent written and oral communication skills in English and Hindi. • • Excellent computer skills including MS office (Excel, Word, PPT, Access etc.), Internet, Web Based Activities etc. 	<p>resource mobilization, compliances etc.</p> <ul style="list-style-type: none"> • Excellent written and oral communication skills in English and Hindi. • Excellent computer skills including MS office (Excel, Word, PPT, Access etc.), Internet, Web Based Activities etc. 	
8.	Clause- 2.3 (Assignment Team), Sub-clause- 2.3.1.- Legal, Finance& Taxation Wing-Assistant Urban Finance and Taxation Expert Page No. 31`	<p>Essential Qualification and Experience:</p> <ul style="list-style-type: none"> • CA or Bachelor degree in Commerce/ Economics/Public Finance/MBA Finance/Post Graduate Degree in finance from recognized university with minimum 05 years of experience in designing and establishing suitable finance management system. • Experience of working on Project 	<p>Since there are few persons who have handled municipal bonds issuance; hence it is requested to modify the clause as follows as it is restrictive in nature:</p> <p>Essential Qualification and Experience:</p> <ul style="list-style-type: none"> • CA or bachelor's degree in commerce/ Economics/Public Finance/MBA Finance/Post Graduate Degree in finance from recognized university with minimum 05 years of experience in designing and establishing suitable finance 	<p>May be considered with the following modification: -</p> <p>Must have handled at least one Municipal/State/Go I/any Government Infrastructure Bond Issuance in the last five years, either as a Merchant Banker or as a Consultant /Advisor / post issue</p>

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		<p>finance of infrastructure projects / housing finance and Banking or Housing Financial Institutions/Financial institution.</p> <ul style="list-style-type: none"> • Skills to evaluate urban infrastructure investments and helping ULBs to use a range of options for finance projects. • Must have prior experience in revenue generation projects for government/ ULBs/ State urban development agencies. • Must have handled at least one Municipal Bond Issuance in the last five years, either as a Merchant Banker or as a Consultant /Advisor / post issue compliance advisor, in their previous / current assignments. • Experience to advise and train staff in municipal finance, project finance and resource mobilization, public private partnerships etc. • Set-up systems and procedures for financial management of the project. • Excellent written and oral communication skills in English and 	<p>management system.</p> <ul style="list-style-type: none"> • Experience of working on Project finance of infrastructure projects / housing finance and Banking or Housing Financial Institutions/Financial institution. • Skills to evaluate urban infrastructure investments and helping ULBs to use a range of options for finance projects. • Must have prior experience in revenue generation projects for government/ ULBs/ State urban development agencies. • Experience to advise and train staff in municipal finance, project finance and resource mobilization, public private partnerships etc. • Set-up systems and procedures for financial management of the project. 	<p>compliance advisor, in their previous / current assignments</p>

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		<p>Hindi.</p> <ul style="list-style-type: none"> Excellent computer skills including MS office (Excel, Word, PPT, Access etc.), Internet, Web Based Activities etc. 		
9.	Clause-Bid Data Sheet, Sr. No 11- Bid Security(EMD) Page No. 9	Rs. 2,00,000/- (Rupees Two Lakhs only) which will be in the form of a bank guarantee from any nationalized bank in favour of Regional Centre for Urban & Environmental Studies (RCUES), Lucknow. (No Exemption for MSME / NGO / Start-up)	<p>The amount of EMD/ Bid Security mentioned in these two clauses are different.</p> <p><i>It is requested to the authority to kindly clarify the amount of EMD/ Bid Security that is required to be provided.</i></p>	Already replied above in Sl. No. 10.1
	Clause-3.5- Bid Security- Sr. No. 3.5.1 Page No. 44	The Bidder is required to deposit, along with its bid, a bid security of Rs. 10,00,000/- (Rupees Ten Lakh only) in the form of a bank guarantee as specified in Appendix I Form 4, from any nationalized bank or as mentioned in the Data Sheet with a validity of 180 (one hundred and eighty) days from the Bid due-date.	<p><i>It is requested that in case of Bid Security for more than Rs. 2,00,000; bank guarantee should be allowed.</i></p>	
10.	Clause-2.4- Eligibility Criteria, Sr. No. 4 Page No. 44	Average annual turnover for the last 3 Financial Years (i.e., 2019-20, 2020-21 and 2021-22) should be minimum 30% of the Project Cost. (In case audited statement of turnover not available for FY 2021-22, bidder may submit for FY 2018-	In order to ensure quality competition and participation by reputed bidders, it is suggested that threshold value of annual average turnover should be above at least Rs. 200 Cr from India operation in last three financial years (2019-20, 2020-21, 2021-	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		2019).	22) (In case audited statement of turnover not available for FY 2021-22, bidder may submit for FY 2020-2021).	
11.	Clause-Schedule-9 (terms of Reference)- Key Performance Indicators (KPI): Page No. 85	Key Performance Indicators (KPIs) in project management consist of various specific measurement tools for indicating how well PMU members are achieving specific goals. Key performance indicators consist of the important performance goals across all aspects of team involvement in a project. The objective to set up a Programme Management Unit (PMU) is to provide focused support for the implementation of the schemes of department of Urban development in time bound manner. Hence, PMU has to efficiently and effectively manage, coordinate and support programmatically to the Department of Urban Development Therefore, this Department will fix the Annual KPIs for PMU members in consultation with Head of the PMU, which will be strictly monitored on a monthly basis by Principal Secretary/ Special Secretary/Deputy Secretary, after	Since the entire project is on manmonth basis and attendance, to ensure financial liquidity to the bidder, it is requested that entire payment be linked to manmonth of the particular resource. And hence entire payment be made manmonth basis. It is requested to kindly remove the condition of 20% payment on KPI basis.	Already replied above in 1.9

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		signing of the contract. The performance against the KPIs of PMU members will be reviewed by Principal Secretary/ Secretary / Special Secretary on the last working day of the given month otherwise 100% of remaining 20% against the KPIs will be paid to the Firm. The reimbursement of amount quoting on man-month basis will be paid to the Firm as follows:		
		80% of man-months quoted rates on monthly basis as per the contract according to the agreed work and		
		Remaining 20% on achievement of KPI with respect to each individual as finalised by both the parties within the specified period		
12.	Clause-2.3 (Assignment Team) Page No. 34	UDD may add or remove the resources based on the requirements and the bidder should be able to provide additional resources as per the client requirements on the discovered prices in reasonable time period.	It is requested to amend the clause as mentioned below: "UDD may add or remove the resources based on the requirements and the bidder should be able to provide additional resources as per the client requirements on pro-rata basis ."	As per RFP
13.	Clause- 5.1 (Evaluation of Technical Bids), Sr. No. 4	A. Educational Qualification - 25% B. No of Years of relevant experience - 25% C. No. of relevant (to the role the	The clause may be modified as sum total of percentage allocation is more than 100 % i.e. 150% (25%+25%+50%+50%) . Hence, it is	Already clarified in the Pre-Bid Meeting. For No. of relevant

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
	(Qualifications and Competence of Staff Members Proposed by the Bidder)- Criteria Page No. 49	resource proposed for) projects undertaken Minimum 2 projects: 50% of the marks For every additional project: 25% extra marks (i.e., for 4 projects, resource to get full marks towards relevant experience) 50%	requested to kindly modify the clause accordingly.	projects – 50% marks been allotted out of which for minimum 2 projects – 50% marks will be given and for every additional project: 25% extra marks (i.e., for 4 projects, resource to get full marks towards relevant experience in terms of no. of projects)
13.Nangia-Andersen LLP				
1.	2.3 Assignment team	Team Lead cum Liaison officer: <ul style="list-style-type: none"> Minimum 08 years of industry experience as team leader, policy expert or related field including minimum 05 years of experience of working with donor/ development agencies like World Bank, ADB, UN, USAID, BMGF etc. 	Asking for minimum 05 years of experience of working with donor/ development agencies like World Bank, ADB, UN, USAID, BMGF etc. will restrict the right expert to be a part of this State PMU. While the project scope relates to Urban Development with focus to programs/mission of State/Central Government such as AMRUT, Swachh Bharat Mission-Urban, Smart City Mission, PMAY, NULM, etc. We request to amend the clause as: Minimum 08 years of industry experience as team leader, policy expert or related	Already replied above in Sl. No. 1.4

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
	16		field including minimum 05 years of experience of working with State/ Central Government.	
2.	2.3 Assignment team 18	Procurement Expert (RFP Design/Bid Process Management): <ul style="list-style-type: none"> PMP certification shall be given preference. 	<p>We request to levied off the PMP certification clause as the course relates to IT and will help to embrace digital disruption, adopt lean techniques, and use tools that adapt to increasingly agile environments. While procurement expert needs to have knowledge of tendering, contract management, understanding of contract law, administration, regulations, project risk evaluation, familiarity with statutory requirements and regulations.</p> <p>Hence, we request to levied off the PMP certification.</p>	As per RFP
3.		PPP Specialist: <ul style="list-style-type: none"> PMP certification shall be given 	We request to levied off the PMP certification clause as the course relates to	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
	2.3 Assignment team 21	preference.	IT and will help to embrace digital disruption, adopt lean techniques, and use tools that adapt to increasingly agile environments. While procurement expert needs to have knowledge of tendering, contract management, understanding of contract law, administration, regulations, project risk evaluation, familiarity with statutory requirements and regulations. Hence, we request to levied off the PMP certification.	
4.	2.3 Assignment team 26	ICT/ IT Application and Monitoring Expert: <ul style="list-style-type: none"> Graduate Or Post Graduate in Computer Science / Electronics and Communication/ Information Technology / BE/ B. Tech/ MCA with 5 years' experience in IT related field specially in State Government/ Local Bodies/Resource Centers / NGOs and minimum 2 years of working experience in Central/State/ULB project. 	<p>As the role of ICT wing is to monitor ongoing activities through IT systems, identification of new IT initiatives, PMIS, supporting ULBs for various ICT initiatives. Hence, we suggest to have higher experienced expert for this role.</p> <p>We request to amend the clause as: Graduate Or Post Graduate in Computer Science / Electronics and Communication/ Information Technology / BE/ B. Tech/ MCA with 10 years' experience in IT related field specially in State Government/ Local Bodies/Resource Centers / NGOs and minimum 2 years of working experience in Central/State/ULB project.</p>	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
5.	2.3 Assignment team 33	Inventory & Asset Management Expert: <ul style="list-style-type: none"> Degree in Engineering/Planning or Master degree in Economics/ Statistics/ Planning/Management or related field with 05-year experience 	We request to amend the clause as: Inventory & Asset Management Expert: Degree in Engineering/Planning or Master degree in Economics/ Statistics/ Planning/Management/ Operations Management/Supply Chain or related field with 05-year experience	As per RFP
6.	2.5 Conflict of Interest 36	Conflict of Interest A Consultant (including its Personnel and Sub-Consultants) that has a business or family relationship with a member of the Employer’s staff who is directly or indirectly involved in any part of (i) the preparation of the Terms of Reference of the Assignment/job, (ii) the selection process for such Assignment/job, or (iii) supervision of the Contract, may not be awarded a Contract, unless the conflict stemming from this relationship has been resolved in a manner acceptable to the Employer throughout the selection process and the execution of the Contract.	A Consultant (including its Personnel and Sub-Consultants) or any of its affiliates shall not be hired for any Assignment/job that, by its nature, may be in conflict with another Assignment/job of the Consultant to be executed for the same or for another Employer. For example, a Consultant hired to prepare engineering design for an infrastructure project shall not be engaged to prepare an independent environmental assessment for the same project, and a Consultant assisting an Employer in the privatization of public assets shall not purchase, nor advice purchasers of, such assets. Similarly, a Consultant hired by Urban Development Department, U.P. for an Assignment/job shall not be hired for the Assignment/job for same department.	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
7.	5.1 Evaluation of Technical Bid 48	Past Experience B: Experience in providing consulting / project implementation units / Third Party Assessment / PMC of Thematic Sectoral projects of Urban Development / Amrut/ Smart City/ SBM / SWM/ Central/ State Government Departments / Doners or funding agencies / PSUs / Autonomous bodies/ Public Sector entity /Urban Development Departments/ Local Governments/ Development Authorities. Each assignment should have a minimum client billing of Rs 01 Cr/per assignment in last five financial years.	We request to amend the clause as: Past Experience B: Experience in providing consulting / project implementation units / Third Party Assessment / PMC of Thematic Sectoral projects of Urban Development / Amrut/ Smart City/ SBM / SWM/ Central/ State Government Departments / Doners or funding agencies / PSUs / Autonomous bodies/ Public Sector entity /Urban Development Departments/ Local Governments/ Development Authorities. Each assignment should have a minimum client billing of Rs 0.50 Cr/per assignment in last five financial years.	Already replied above in Sl. No. 5.2
8.	9.1 Scope of Work; 1 62	The PMU is, proposed to be set up for 01 year which may be extended upto 2 years on same terms and conditions, based on performance of the Firm/ agency in respect of manpower provided and after the approval of competent authority.	We understand that the agency needs to factor the rates on year 1 only as the same rates are applicable for next 2 years during extension.	As per RFP
14. TRP Ingenieria Consultant Pvt Ltd				
1.	2.4 Eligibility Criteria	9. JV/Consortium shall not be allowed.	We request the authority to allow JV/consortium as per make in india	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
	Page No 35		initiatives by giving chance to the associates for implementation support by using sectoral support as in many centrally sponsored schemes this is provisioned.	
2.	BID Data Sheet Page No 9	Bid due date and time	We request the authority to given at least 15 working day to prepare quality and competative bid after given pre bid reply.	
15. M/s Medhaj Techno Concept Pvt. Ltd.				
1.	BID Data Sheet/ Clause No. 11 Page No. 9, 10	Bid Security (EMD): Rs. 2,00,000/- (Rupees Two Lakhs only) which will be in the form of a bank guarantee from any nationalized bank in favour of Regional Centre for Urban & Environmental Studies (RCUES), Lucknow. (No Exemption for MSME / NGO / Start-up)	Kindly confirm the amount of bid security (EMD) to be submitted. It is requested you to kindly consider the bank guarantee towards EMD issued from reputed scheduled commercial bank also.	Already replied above in Sl. No. 10.1
2.	3 Preparation & Submission of e-Bid/ 3.5 Bid security/ Page No. 44	3.5 Bid security: The Bidder is required to deposit, along with its bid, a bid security of INR Rs. 10,00,000/- (Rupees Ten Lakh only) in the form of a bank guarantee as specified in Appendix I Form 4, from any nationalized bank or as mentioned in the Data Sheet with a validity of 180 (one hundred and eighty) days from the Bid due-date.	Kindly consider and confirm.	
3.	BID Data Sheet/ Clause No. 12 Page No. 10	Performance Security: 5% of the Project Cost	It is requested you to kindly consider the bank guarantee towards performance security issued from reputed scheduled	

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
4.	3 Preparation & Submission of e-Bid/ 3.7 Performance Security/ Page No. 45	3.7.1 The Selected Bidder shall submit a Performance Security as 5% of the Project Cost, in the form of a bank guarantee from a nationalized bank as specified in Appendix I- Form 5 to be valid for a period of 180 days from expiry of the Agreement Period	<p>commercial bank also. Kindly consider and confirm.</p> <p>Further, we would like to bring in your kind notice that as per office memorandum of Government of India-Ministry of Finance, Department of Expenditure Procurement Policy Division office memorandum No.F.9/4/2020-PPD, dated 12.11.2020 and its extension order Dt. 30.12.2021 (<i>Enclosed as Annexure-B</i>), the prevailing rate for submission of contract performance security for the project contracts to be issued/ concluded till 31.03.2023, is 3% of the value of the contract.</p> <p>Therefore, it is requested your goodself to kindly revise and reduce the value of contract performance guarantee value from 5% to 3%.</p>	As per RFP
5.	6 General Conditions of Contract/ 6.8 Penalty Clauses/ Page No. 54-55	Liquidated Damages for delay- If there is any delay in Consultant's services, which is conclusively proved is on account of reasons solely attributable to the Consultant, resulting in extension of overall schedule for completion of the project, then UDD/Nominated Officer shall be entitled to recover from the	<p>In this regard, we understand that the liquidated damages under this Contract including replacement of resources 4 resources in one year; shall not exceed 10% of the total value of the contract. Kindly confirm.</p> <p>It is further submitted that the penalty</p>	As per RFP

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
		<p>Consultant by way of liquidated damages. The liquidated damages shall be applicable under following circumstances:</p> <p>a) If the Consultant fails to deploy the requisite staff as defined in with in thirty (30) days from the effective date of the contract, the Consultant shall be liable to pay an amount equivalent to <u>0.1% of the contract value per day</u>. If delay in deployment of requisite staff is more than 30 days, then this agreement with the Consultant shall be terminated and performance guarantee deposited by the consultant shall be forfeited.</p> <p>b) The amount of liquidated damages under this Contract shall not exceed 10% of the total value of the contract.</p> <p>Replacement of more than 4 resource persons in a year would attract a penalty. A one-time penalty of 2% of the monthly billing amount will be levied for each replacement beyond 4 resources in one year.</p>	<p>clause is usually in the range of 2% to 3 % in most of the PMA/ PMU/ PMC tenders. It is therefore requested to kindly relax the penalty clause from 10% to 2.5% of the total value of the contract.</p> <p>Further, we understand that the selected PMU have to deploy the requisite staff with in thirty (30) days from the effective date of the contract and the penalty clause mentioned in point (a) shall be applicable after thirty (30) days from the effective date of the contract. Accordingly, in case on non-deployment of deploy the requisite staff after 60 days i.e. (30+30) days, then this agreement with the Consultant may be terminated and performance guarantee deposited by the consultant shall be forfeited after issuance of a written notice. Kindly confirm.</p>	
6.	6.9 Contract termination/ II.	The UDD/Nominated Officer reserves the right to cancel the Agreement and		

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S. No.	Bid Document Reference	Clause/ Section - Description	Queries	Reply
	By the Selected Bidder/ Point III (d)/ Page No. 56	recover the expenditure also under following circumstances: If deductions on account of liquidated damages/penalty exceeds more than 10% of the total annual cost		
7.	BID Data Sheet/ Clause No. 5 Page No. 9	Bid due date and time: 28/02/2023 15:00 Hrs Online submission through: WWW.EPROCURE.GOV.IN	It is requested that as there are certain queries/suggestions against the clauses in the RFP document as highlighted in this letter which need to be clarified. Also, this tender requires lot of information to be gathered for preparation of techno commercial bid. So, it takes time to prepare a quality bid for a bidder which can only be started once the bid clarifications are issued by the RCUES. Thus, we request your good self to <u>kindly extend the due date of submission by at least 10-15 working days</u> , which shall enable us to submit a quality proposal.	Bid Due date and time– 11-03-2023, 17:00 Date and time of opening of Technical Bid – 13-03-2023, 16:00